

TRANSMITTAL LETTER TO THE
UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

1625/00023

416 Rec'd PCT/PTO 30 SEP 1999

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/402121

INTERNATIONAL APPLICATION NO.

PCT/FI98/00307

INTERNATIONAL FILING DATE

8 April 1998

PRIORITY DATE CLAIMED

16 April 1997

TITLE OF INVENTION

Joint Arrangement

APPLICANT(S) FOR DO/EO/US

Jarkko Valtanen

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. § 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 - ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 - ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 - ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 - ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 - ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (w/6 references and Form PTO-1449)
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
 - ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter
16. ☒ Other items or information:

Form PCT/ISA/210 - PCT International Search Report

Form PCT/IB/301 - Notification Of Receipt Of Record Copy

Form PCT/ISA/220 - Notification Of Transmittal Of The International Search Report Or The Declaration

Form PCT/IB/345 - Communication In Cases For Which No Other Form Is Applicable

Form PCT/IPEA/409 - International Preliminary Examination Report

WO 98/46830

3 sheets of formal drawings

Verified Statement (Declaration) Claiming Small Entity Status - Independent Inventor

Verified Statement (Declaration) Claiming Small Entity Status - Small Business Concern

514 Rec'd PCT/PTO 30 SEP 1999

U.S. APPLICATION (See 37 CFR 1.53) <div style="font-size: 2em; font-weight: bold; margin-left: 100px;">09/402121</div>		INTERNATIONAL APPLICATION NO. PCT/FI98/00307		ATTORNEY'S DOCKET NUMBER 1625/00023	
<input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO \$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00 <div style="text-align: right; font-weight: bold;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>					
<div style="text-align: right; font-weight: bold;">\$970.00</div>					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<div style="text-align: right; font-weight: bold;">\$0.00</div>	
Claims	Number Filed	Number Extra	Rate		
Total Claims	6 - 20 =	0	X \$18.00	<div style="text-align: right; font-weight: bold;">\$0.00</div>	
Independent Claims	1 - 3 =	0	X \$78.00	<div style="text-align: right; font-weight: bold;">\$0.00</div>	
Multiple dependent claim(s)(if applicable)			+ \$270.00	<div style="text-align: right; font-weight: bold;">\$</div>	
TOTAL OF ABOVE CALCULATIONS =				<div style="text-align: right; font-weight: bold;">\$970.00</div>	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)				<div style="text-align: right; font-weight: bold;">\$485.00</div>	
SUBTOTAL =				<div style="text-align: right; font-weight: bold;">\$485.00</div>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<div style="text-align: right; font-weight: bold;">\$</div>	
TOTAL NATIONAL FEE =				<div style="text-align: right; font-weight: bold;">\$485.00</div>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				<div style="text-align: right; font-weight: bold;">\$40.00</div>	
TOTAL FEES ENCLOSED =				<div style="text-align: right; font-weight: bold;">\$525.00</div>	
				Amount to be:	
				refunded \$	
				charged \$	
a. <input checked="" type="checkbox"/> A check in the amount of \$525.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>22-0185</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>22-0185</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status SEND ALL CORRESPONDENCE TO:					
Pollock, Vande Sande & Amernick, R.L.L.P. 1990 M Street, N.W. Suite 800 Washington, DC 20036-3425				<div style="text-align: center;"> SIGNATURE </div> <div style="text-align: center; margin-top: 20px;"> for <u>Elzbieta Chłopecka</u> NAME <u>32,767</u> REGISTRATION NUMBER </div>	